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Lecture 01: Introduction to Cryptography

Outline of this Lecture

A brief introduction to:

- data confidentiality, encryption;
- data integrity, authentication of sender and receiver;
- nonrepudiation; secret sharing; cryptographic protocols;
- history of cryptography.



Data Confidentiality

Data in transmission or stored in a storage system could be very sensitive and only authorized people are allowed to read.

Keeping data confidentiality means preventing unauthorized reading of data.



How to Achieve Data Confidentiality

By **ENCRYPTION**, i.e., use a secret 1-to-1 mapping (function) f to map a plaintext m into a ciphertext c , i.e., $c = f(m)$.

To recover the plaintext m from the ciphertext c , apply the inverse mapping f^{-1} to c , yielding

$$f^{-1}(c) = f^{-1}(f(m)) = (f^{-1}f)(m) = m.$$

This is called **DECRYPTION**.



Example of Encryption

Consider the English alphabet arranged in the order

$$a, b, c, d, \dots, w, x, y, z$$

Define the 1-to-1 mapping f by

$$f(x) = \text{the third letter after } x,$$

where the 3rd letter after x, y, z is resp. a, b, c .

Then the plaintext “kill” is encrypted into

$$f(kill) = f(k)f(i)f(l)f(l) = nloo.$$

Question: What is f^{-1} ? How to decrypt?

Remark: Used by Julius Caesar (the Roman Emperor)



Authentication (Integrity) of Data

Data authentication (integrity): For data in transmission or stored in a storage system the following could happen:

- It could be modified by an unauthorized person.
- It could be replaced with another data by an unauthorized person.

Question: How do we detect any modification and replacement of data?



Authenticity of Sender and Receiver

Question: If you received a message with alleged sender X , how could you be sure that the sender is indeed X ?

Question: If you sent a message to a receiver Y , how could you be sure that the receiver indeed received the message?

Remark: Detailed techniques for authentication of a message's sender and receiver will be introduced later.



Nonrepudiation

Remark: If I wrote you a letter, saying that I will pay you US\$100,000 if you finish that job for me, I could not deny this offer later because I must have signed on this letter.

Question: If I sent you an electronic message that orders you to kill someone, but later denying that I sent you this message, how could you prove that I indeed sent you this message?

Solution: Digital signature (introduced later).



Secret Sharing

Problem: A father has put a lot of treasure into a secure room with an electronic key k . He has three sons, and would have his three sons to share the electronic key in some way so that the following conditions are satisfied:

- (1) Each of his son has a share (could be a number), which gives zero information about k .
- (2) When any two of his sons come together with their shares, they get no information at all about the electronic key.
- (3) Only when all the three sons come together with their shares, they are able to recover k with their shares.

How could the father design a system for sharing the electronic key?



Cryptographic Protocols

Problem: A group of banks would have an electronic funds transfer system that does the following:

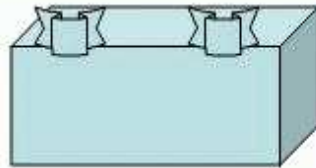
“Data integrity check, message authentication, sender and receiver authentication, nonrepudiation”

Suppose that you have an algorithm for doing each of the jobs above. How do you combine these algorithms so that they work as a whole?

Solution: Cryptographic protocols.



A Protocol Problem



- The box and locks are very strong.
- Alice and Bob can identify each other's lock.
- Alice and Bob's locks have a unique key.



Alice
NY

Every week Alice takes photos and wishes to send them to Bob using the box and locks In a secure way. Locked box may be delivered to the other side by a post office.

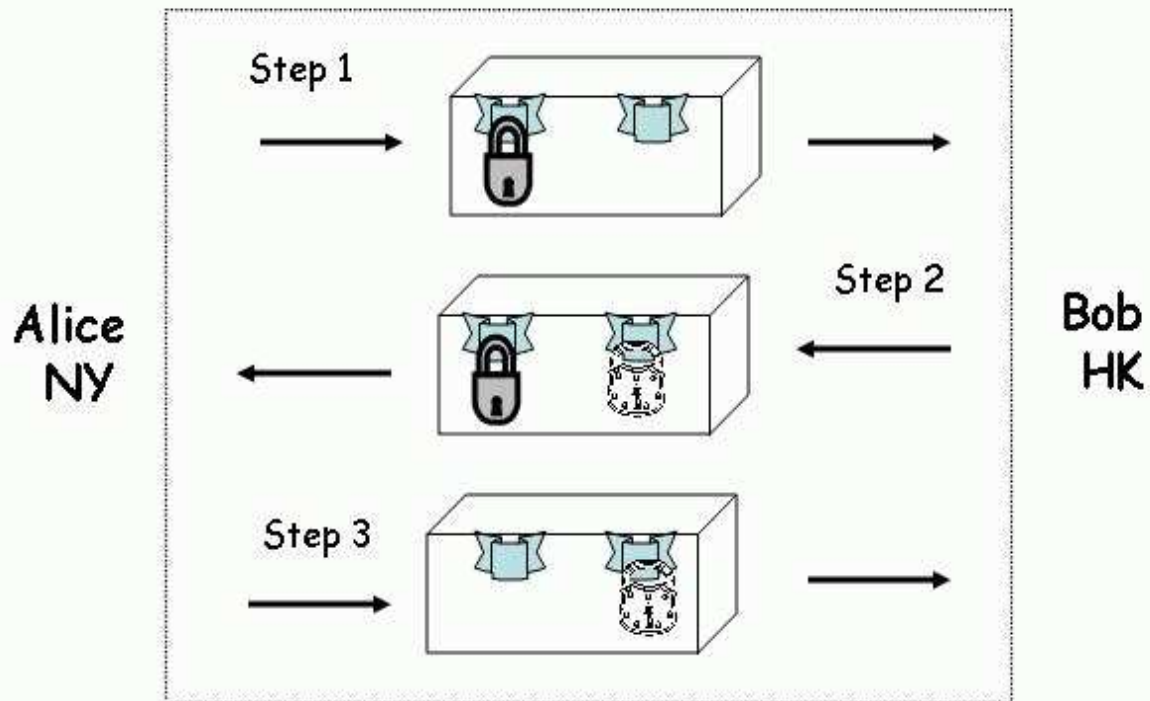


Bob
HK

Design a secure protocol for Alice and Bob.



A Protocol Problem: Solution



Secure w.r.t. active and passive attacks?



Main Topics of Cryptography

- Data confidentiality (design and analysis of encryption and decryption algorithms).
- Authentication of data, data sender, and data receiver. (data integrity)
- Nonrepudiation.
- Secret sharing.
- Cryptographic protocols.



History of Cryptography

- Cryptography has a history of five thousand years, starting with human writings.
- At the early stage, they are called **codes**. Encryption and decryption were done by hands.
- Cipher devices were invented in about 1817.
- Cryptography has played an important role during World War I and II. Sixty Japanese navy ciphers were broken during World Word II.
- Modern cryptography started with Shannon's paper "Communication Theory of Secrecy Systems, Bell System Technical Journal 28 (1949) 656–715."



References on the History

The history of cryptography is too rich to be covered here. The following references are recommended:

1. David Kahn, *The Codebreakers: The Story of Secret Writing*, Scribner, revised edition, 1996 (First Edition 1967).
2. *Cipher Devours*, David Kahn, Louis Kruh, Greg Mellen, Brian Winkel, Cryptology: Machines, history and Methods, Artech House, 1989.
3. Fred B. Wrixon, *Codes and Ciphers*, Prentice Hall, 1992.



Who Are Using Cryptography?

1. Diplomatic personnel, military personnel, police organizations, government officials.
2. Banks.
3. Business companies for communications between their divisions and for keeping their documents confidential.
4. Gangsters, Mafia and other criminal organizations.
5. Ordinary people (email, mobile phones, faxes, computers, online shopping and banking).

With the implementation of e-cash, almost everyone will have to use cryptography directly or indirectly!



Who Works on Cryptography?

1. Computer Scientists.
2. Mathematicians.
3. Electrical Engineers.

The researchers are working for two sectors:

- the secret sector (military and government organizations),
- the public sector (research institutions and universities).