## DSAA 5012 Advanced Data Management for Data Science

## LECTURE 7 STRUCTURED QUERY LANGUAGE (SQL)

#### STRUCTURED QUERY LANGUAGE (SQL): OUTLINE

- ✓ SQL Basic Structure and Operations
- Additional Basic Operations
- Aggregate Functions
  - Group By Clause
  - Having Clause

Nested Subqueries and Set Operations

**Database Definition** 

**Database Modification** 

Using SQL in Applications

#### **EXAMPLE BANK RELATIONAL SCHEMA**

Branch(branchName, district, assets)

Client(clientId, name, address, district)

Loan(<u>loanNo</u>, amount, *branchName*)

Account(accountNo, balance, branchName)

Attribute names in italics are foreign key attributes.

Borrower(clientId, loanNo)

Depositor(*clientId*, *accountNo*)

#### **AGGREGATE FUNCTIONS**

 An aggregate function operates on an attribute of a relation and returns a single value (i.e., a table with one row and one column).

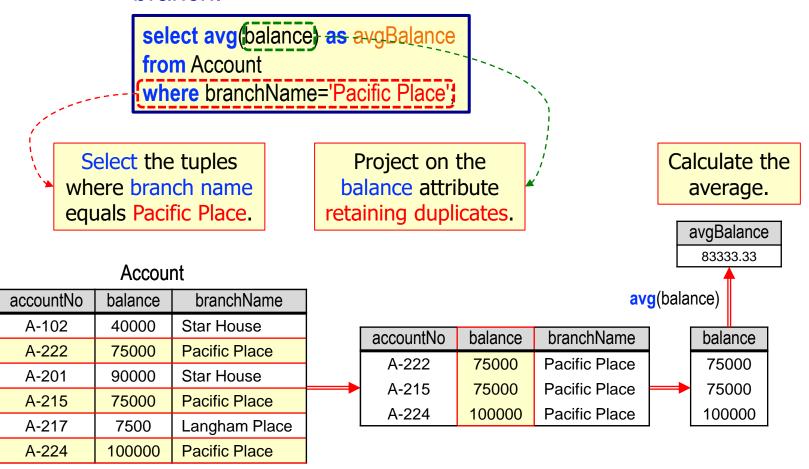
countnumber of tuples / valuesavgaverage valuestdevstandard deviation of valuesmaxmaximum valuesumsum of values (total)minminimum value

- For avg, stdev and sum the input must be numbers.
- For other functions, the input can be non-numeric (e.g., strings).
- All aggregate functions, except count(\*), ignore null values in the input collection and return a value of null for an empty collection.

The count of an empty collection is defined to be 0.

#### **AGGREGATE FUNCTIONS: COMPUTATION**

**Query:** Find the average account balance at the Pacific Place branch.



#### AGGREGATE FUNCTIONS: EXAMPLES

Query: Find the number of accounts.

select count(\*) from Account;

Remember \* stands for all attributes.

Account

a	ccountNo	balance	branchName
	A-102	40000	Star House
	A-222	75000	Pacific Place
	A-201	90000	Star House
	A-215	75000	Pacific Place
	A-217	7500	Langham Place
	A-224	100000	Pacific Place

Same as:

select count(branchName) from Account;

Why?

Different from:

select count(distinct branchName) from Account:

Why?

**Cannot say:** select count(distinct \*) from Account;

SQL does not allow the use of distinct with count(\*).



#### **GROUP BY CLAUSE**

A group by clause permits aggregate results to be displayed (e.g., max, min, sum, etc.) for groups. For example, group by x will get a result for every different value of x.

Aggregate queries without group by return a single number.

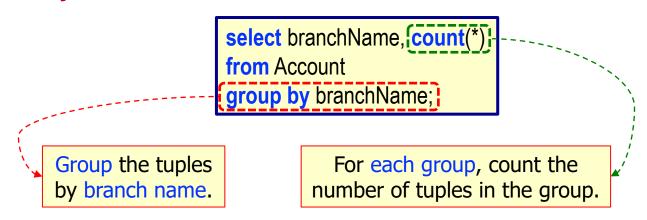
Query: Find the number of accounts for each branch.

select branchName, count(\*)
from Account group by branchName;



#### GROUP BY CLAUSE (cont'd)

Query: Find the number of accounts for each branch.



#### Account

accountNo	balance	branchName	accountNo	balance	branchName		
A-102	40000	Star House	A-102	40000	Star House	branchName	count(*)
A-222	75000	Pacific Place	A-201	90000	Star House	Star House	2
A-201	90000	Star House	A-222	75000	Pacific Place	Pacific Place	3
A-215	75000	Pacific Place	A-215	75000	Pacific Place	Langham Place	1
A-217	7500	Langham Place	A-224	100000	Pacific Place	Langham i lace	1
A-224	100000	Pacific Place	A-217	7500	Langham Place		



#### **GROUP BY CLAUSE: ATTRIBUTES**

**Query:** Find the balance and the number of accounts for *each* branch.

select branchName, balance, eount(\*)
from Account
group by branchName;

accountNo	balance	branchName
A-102	40000	Star House
A-201	90000	Star House
A-222	75000	Pacific Place
A-215	75000	Pacific Place
A-224	100000	Pacific Place
A-217	7500	Langham Place

#### Illegal! Why?

An attribute in the select clause <u>must</u> also appear in the group by clause.

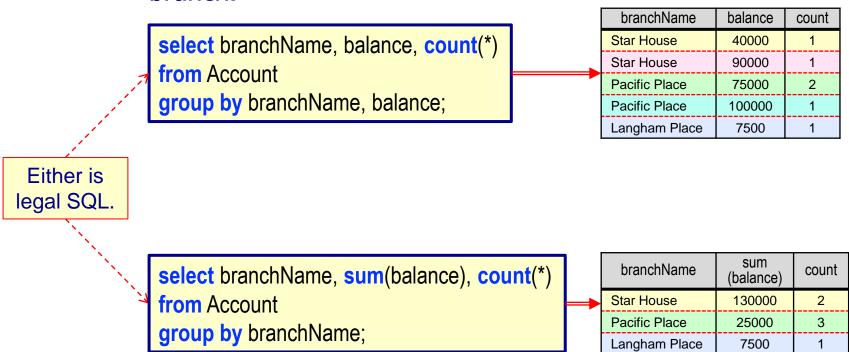
The opposite is not true!

Attributes in the group by clause do not need to appear in the select clause.



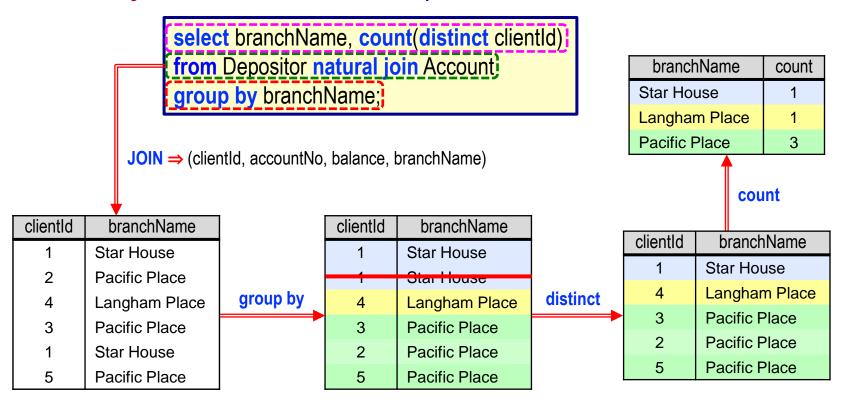
#### **GROUP BY CLAUSE: ATTRIBUTES (cont'd)**

Query: Find the balance and the number of accounts for *each* branch.



#### **GROUP BY CLAUSE: WITH JOIN**

Query: Find the number of depositors for each branch.



Group by and aggregate functions apply to the join result.



#### **HAVING CLAUSE**

The having clause allows a condition to be applied to groups rather than to individual tuples.

Query: Find the names and average balances of all branches where the average account balance is more than \$8000.

select branchName, avg(balance)
from Account
group by branchName
having avg(balance)>8000;

	accountNo	balance	branchName	
<b>√</b>	A-102	40000	Star House	ova(65000 00)
<b>V</b>	A-201	90000	Star House	avg(65000.00)
	A-222	75000	Pacific Place	
✓	A-215	75000	Pacific Place	avg(83333.33)
	A-224	100000	Pacific Place	
X	A-217	7500	Langham Place	avg(7500.00)

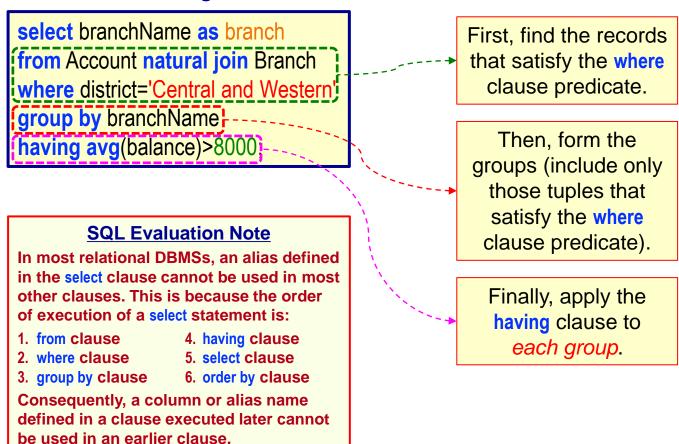
Any condition that appears in the having clause refers to the groups and is applied after the formation of the groups.

Any attribute in the having clause that is not aggregated must appear in the group by clause.



#### **HAVING CLAUSE: EXAMPLE**

Query: Find the branch names in Central and Western district where the average account balance is more than \$8000.





# STRUCTURED QUERY LANGUAGE (SQL) EXERCISES 1, 2

#### **EXAMPLE RELATIONAL SCHEMA AND DATABASE**

Sailor(sailorld, sName, rating, age)

Boat(boatld, bName, color)

Reserves(<u>sailorId</u>, <u>boatId</u>, <u>rDate</u>)

Attribute names in italics are foreign key attributes.

#### Sailor

<u>sailorld</u>	sName	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horatio	9	35
85	Art	3	25
95	Bob	3	63
99	Chris	10	30

11 tuples

#### Reserves

<u>sailorId</u>	<u>boatId</u>	<u>rDate</u>
22	101	10/10/17
22	102	10/10/17
22	103	08/10/17
22	104	07/10/17
31	102	10/11/17
31	103	06/11/17
31	104	12/11/17
64	101	05/09/17
64	102	08/09/17
74	103	08/09/17
99	104	08/08/17

#### **Boat**

<u>boatld</u>	bName	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red
105	Serenity	Cyan

5 tuples

11 tuples



#### **EXERCISE 1**

### Find the boat name and the number of reservations for each red boat.

#### Sailor

<u>sailorld</u>	sName	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horatio	9	35
85	Art	3	25
95	Bob	3	63
99	Chris	10	30

11 tuples

#### Reserves

<u>sailorld</u>	<u>boatld</u>	<u>rDate</u>
22	101	10/10/17
22	102	10/10/17
22	103	08/10/17
22	104	07/10/17
31	102	10/11/17
31	103	06/11/17
31	104	12/11/17
64	101	05/09/17
64	102	08/09/17
74	103	08/09/17
99	104	08/08/17

#### Boat

<u>boatld</u>	bName	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red
105	Serenity	Cyan

5 tuples

11 tuples



#### **EXERCISE 1**

Find the boat name and the number of reservations for each red boat.

(Interlake, 3), (Marine, 3)

Is this a correct solution?

select bName count(\*) as reservationCount from Boat; natural join Reserves where color='red' group by boatld;

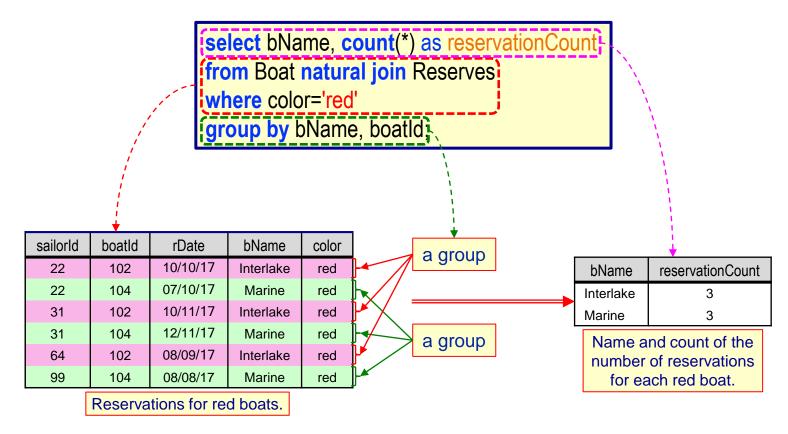
Illegal!!! Why?

All non-aggregate attributes in the select clause must appear in the group by clause (i.e., bName must appear in the group by clause).



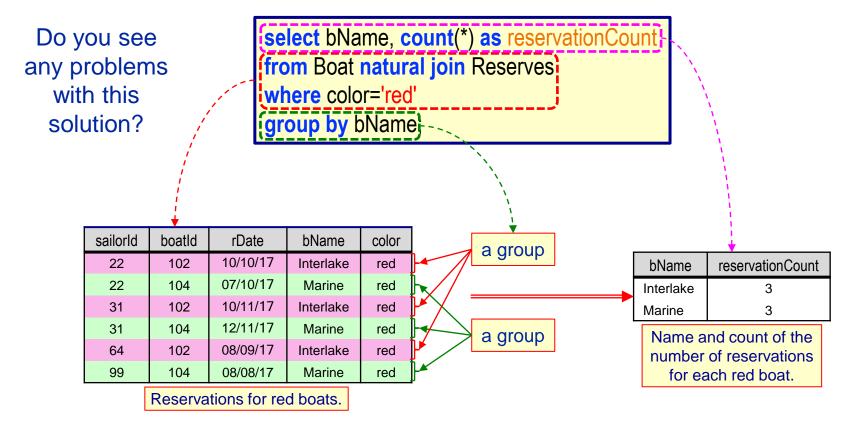
### Find the boat name and the number of reservations for each red boat.

(Interlake, 3), (Marine, 3)



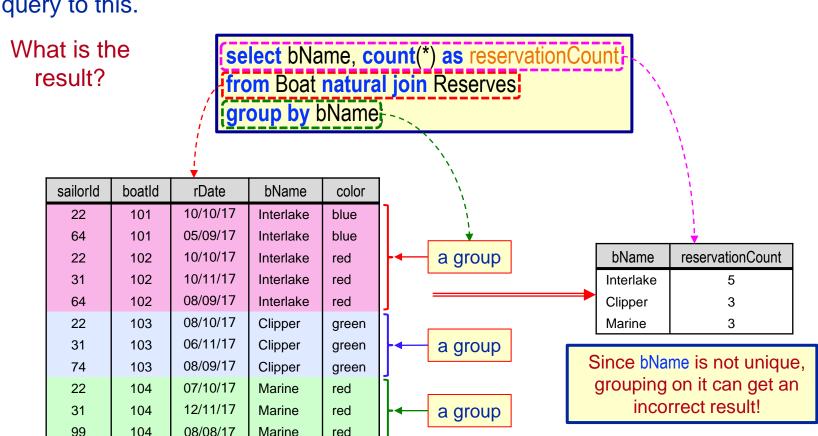
Find the boat name and the number of reservations for each red boat.

(Interlake, 3), (Marine, 3)

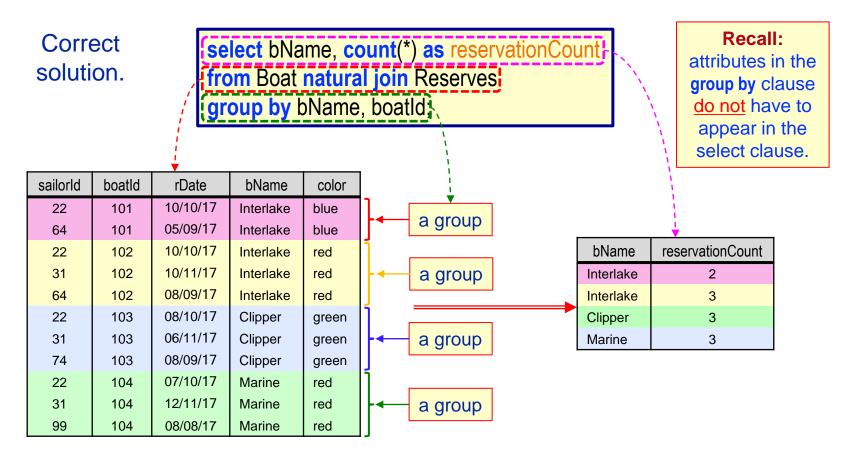


Suppose we change the query to this.

Find the boat name and the number of reservations for each boat.



Find the boat name and the number of reservations for each boat.



#### **EXERCISE 2**

#### Find the sailor id and number of reservations made for each sailor.

#### Sailor

<u>sailorld</u>	sName	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horatio	9	35
85	Art	3	25
95	Bob	3	63
99	Chris	10	30

11 tuples

#### Reserves

<u>sailorld</u>	<u>boatld</u>	<u>rDate</u>
22	101	10/10/17
22	102	10/10/17
22	103	08/10/17
22	104	07/10/17
31	102	10/11/17
31	103	06/11/17
31	104	12/11/17
64	101	05/09/17
64	102	08/09/17
74	103	08/09/17
99	104	08/08/17

#### Boat

<u>boatld</u>	bName	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red
105	Serenity	Cyan

5 tuples

11 tuples



#### **EXERCISE 2**

#### Find the sailor id and number of reservations made for each sailor.

select sailorld, count(sailorld) as reservationCount
from Reserves
group by sailorld;

How to include all sailors?

sailorld	reservationCount	
22	4	
31	3	
64	2	
74	1	
99	1	

#### How about joining Sailor and Reserves?

select sailorld, count(sailorld) as reservationCount
from Sailor natural join Reserves
group by sailorld;

What's the problem?

saliorid	reservationCount
22	4
31	3
64	2
74	1
99	1



#### Find the sailor id and number of reservations made for each sailor.

sailorld	sName	rating	age	boatld	rDate
22	Dustin	7	45	101	10/10/17
22	Dustin	7	45	102	10/10/17
22	Dustin	7	45	103	08/10/17
22	Dustin	7	45	104	07/10/17
31	Lubber	8	55	102	10/11/17
31	Lubber	8	55	103	06/11/17
31	Lubber	8	55	104	12/11/17
64	Horatio	7	35	101	05/09/17
64	Horatio	7	35	102	08/09/17
74	Horatio	9	35	103	08/09/17
99	Chris	10	30	104	08/08/17
29	Brutus	1	33	-	-
32	Andy	8	25	-	-
58	Rusty	10	35	-	-
71	Zorba	10	16	-	-
85	Art	3	25	-	-
95	Bob	3	63	-	-

select sailorld, count(sailorld) as reservationCount from Sailor natural join Reserves group by sailorld;

sailorld	reservationCount	
22	4	
31	3	
64	2	
74	1	
99	1	

Some Sailor tuples have no match in the Reserves relation.

How to deal with this problem?



#### Find the sailor id and number of reservations made for each sailor.

select sailorld, count(boatld) as reservationCount
from Sailor natural left outer join Reserves
group by sailorld;

Recall: left outer join keeps all copies of the common attributes; natural left outer join keeps only one copy of the common attributes.

Is this a correct solution?

No! Why?

select sailorld, count(sailorld) as reservationCount
from Sailor natural left outer join Reserves
group by sailorld;

Counting is done on the sailor ids and all of them appear at least once in the result.



#### STRUCTURED QUERY LANGUAGE (SQL): OUTLINE

- ✓ SQL Basic Structure and Operations
- ✓ Additional Basic Operations
- ✓ Aggregate Functions
- Nested Subqueries and Set Operations
  - Set Membership
  - Set Comparison
  - Empty Relation Test
  - Duplicate Tuples Test
  - With Clause

**Database Definition** 

**Database Modification** 

Using SQL in Applications

#### **NESTED SUBQUERIES**

- Every SQL statement returns a relation as the result.
  - A relation can be null or contain only a single, atomic value.
- Consequently, a value or a set of values can be replaced with a SQL statement (i.e., with a subquery).
  - The query is illegal if the subquery returns the wrong number of tuples or the wrong type for the comparison.

```
select *
from Loan
where amount>12000
```

```
select *
from Loan
where amount>(select avg(amount)
               from Loan);
```

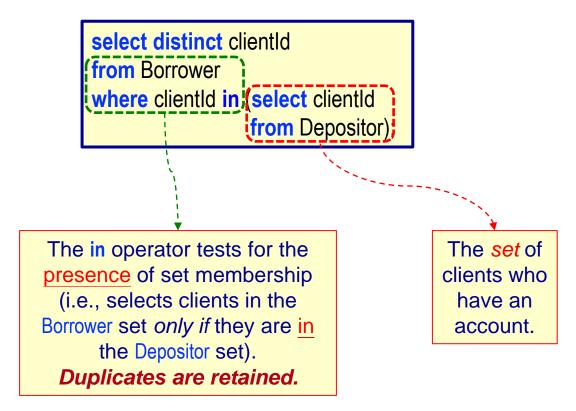
This subquery *must* return a single, numeric value else it is illegal.

Subqueries are commonly used to test for set membership, do set comparison or determine set cardinality.



#### **SET MEMBERSHIP: IN**

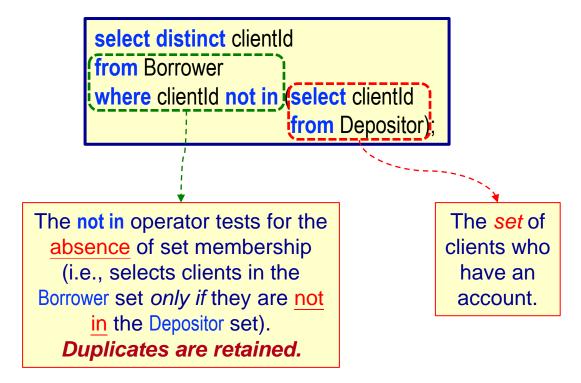
Query: Find all clients who have both an account and a loan.





#### **SET MEMBERSHIP: NOT IN**

Query: Find all clients who have a loan, but do not have an account.





#### **SET COMPARISON: SOME**

Query: Find the names of all branches that have greater assets than *some* (i.e., at least one) branch located in Central and Western.

Equivalent to: Find the names of all branches that have greater assets than the minimum assets of any branch located in Central and Western.

```
select branchName

from Branch
where assets >some (select assets
from Branch
where district='Central and Western');
```

The where clause is true if the assets value of a **Branch** tuple is greater than at least one member of the set of all assets values of branches in Central and Western (i.e., greater than the minimum assets value in the set). **Duplicates are retained.** 

The **set** of assets values of <u>all</u> branches in Central and Western.



#### **SET COMPARISON: ALL**

Query: Find the names of those branches that have greater assets than *all* branches located in Central and Western.

Equivalent to: Find the names of all branches that have greater assets than the maximum assets of any branch located in Central and Western.

```
select branchName

from Branch
where assets >all, (select assets
from Branch
where district='Central and Western');
```

The where clause is true if the assets value of a **Branch** tuple is greater than <u>each of the members of the set</u> of all assets values of branches in Central and Western (i.e., greater than the maximum assets value in the set). **Duplicates are retained.** 

The **set** of assets values of <u>all</u> branches in Central and Western.



# STRUCTURED QUERY LANGUAGE (SQL) EXERCISES 3, 4

#### **EXERCISE 3**

#### Find the records (tuples) of the sailors with the highest rating.

#### Sailor

<u>sailorld</u>	sName	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horatio	9	35
85	Art	3	25
95	Bob	3	63
99	Chris	10	30

11 tuples

#### Reserves

<u>sailorld</u>	<u>boatld</u>	<u>rDate</u>
22	101	10/10/17
22	102	10/10/17
22	103	08/10/17
22	104	07/10/17
31	102	10/11/17
31	103	06/11/17
31	104	12/11/17
64	101	05/09/17
64	102	08/09/17
74	103	08/09/17
99	104	08/08/17

#### Boat

<u>boatld</u>	bName	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red
105	Serenity	Cyan

5 tuples

11 tuples



COMP 3311 L7: EXERCISES

#### **EXERCISE 3**

#### Find the records (tuples) of the sailors with the highest rating.

(58, Rusty, 10, 35), (71, Zorba, 10, 16), (99, Chris, 10, 30)

Is this a correct solution?

No! Why?

select \*
from Sailor
where rating=max(rating);

There is no max(rating) value to compare in the where clause.

The max rating value must be obtained by a select statement!

Is this a correct solution?

No! Why?

select \*, max(rating)
from Sailor;

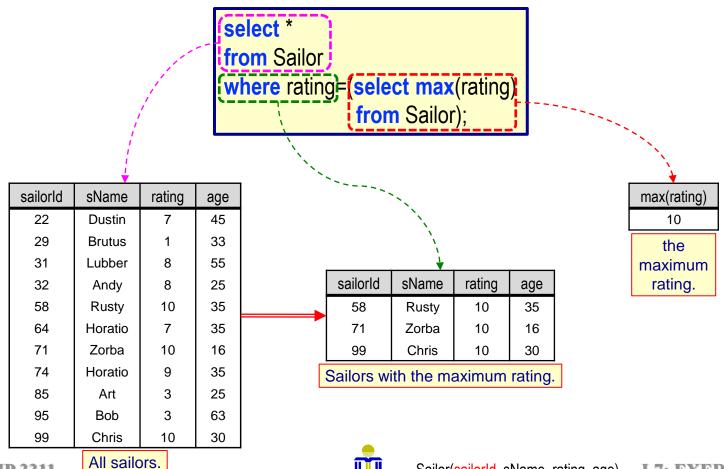
A query that returns multiple tuples cannot contain an aggregate function.

There are multiple tuples in the result, but only one max value!



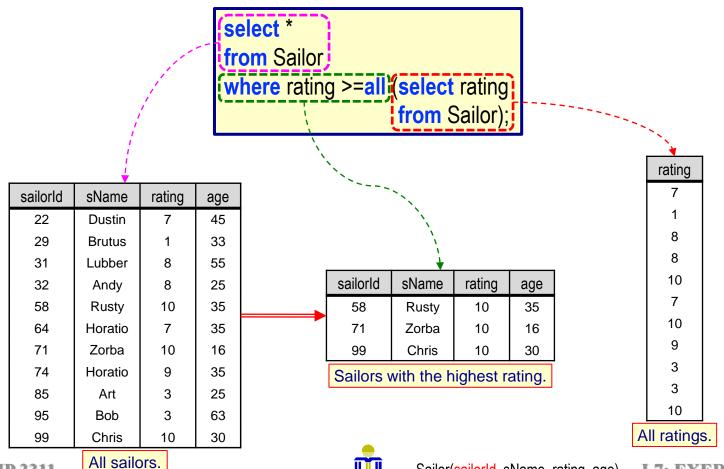
#### Find the records (tuples) of the sailors with the highest rating.

(58, Rusty, 10, 35), (71, Zorba, 10, 16), (99, Chris, 10, 30)



#### Find the records (tuples) of the sailors with the highest rating.

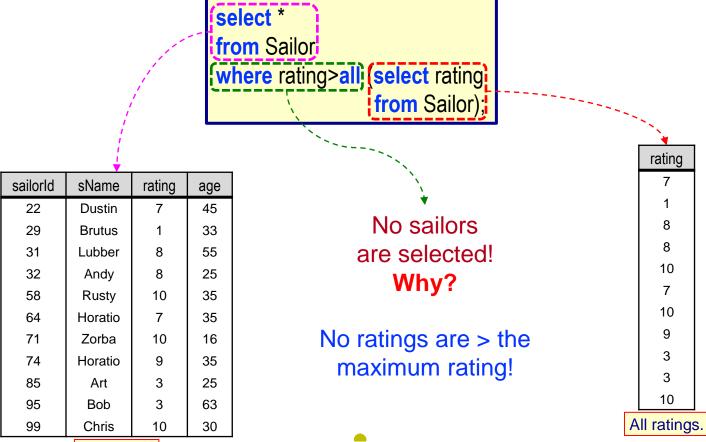
(58, Rusty, 10, 35), (71, Zorba, 10, 16), (99, Chris, 10, 30)



### EXERCISE 3 (cont'd)

What is the result if we replace ">=all" with ">all"?

Recall ">all" is equivalent to greater than the maximum.



### EXERCISE 3 (cont'd)

What is the result if we replace ">=all" with ">=some"?

Recall ">some" is equivalent to greater than the minimum.



## DO NOT use JOIN

### Find the names of sailors who have reserved a red boat.

Use only set membership

### Sailor

<u>sailorld</u>	sName	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55
32	Andy	8	25
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horatio	9	35
85	Art	3	25
95	Bob	3	63
99	Chris	10	30

11 tuples

### Reserves

<u>sailorId</u>	<u>boatId</u>	<u>rDate</u>
22	101	10/10/17
22	102	10/10/17
22	103	08/10/17
22	104	07/10/17
31	102	10/11/17
31	103	06/11/17
31	104	12/11/17
64	101	05/09/17
64	102	08/09/17
74	103	08/09/17
99	104	08/08/17

### Boat

<u>boatld</u>	bName	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red
105	Serenity	Cyan

5 tuples

11 tuples



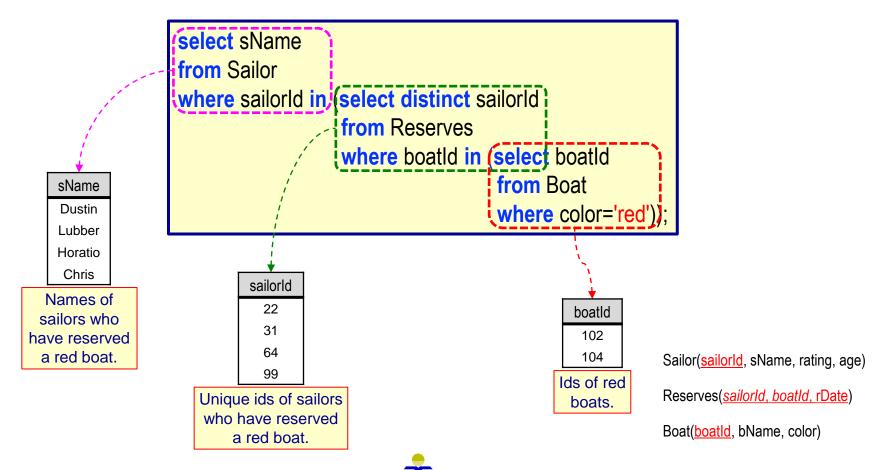
COMP 3311 L7: EXERCISES

DO NOT use JOIN

Find the names of sailors who have reserved a red boat.

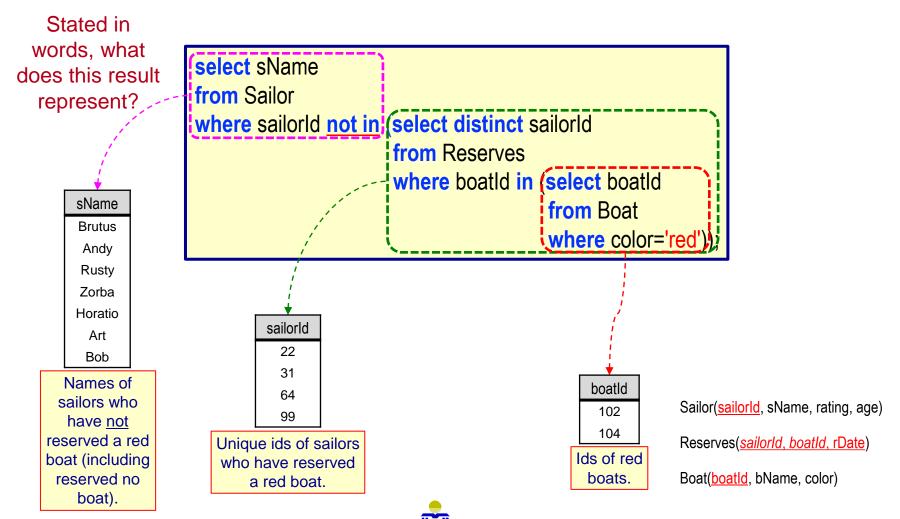
Use only set membership

**Dustin, Lubber, Horatio, Chris** 



### EXERCISE 4 (cont'd)

What if we replace the first in with not in?

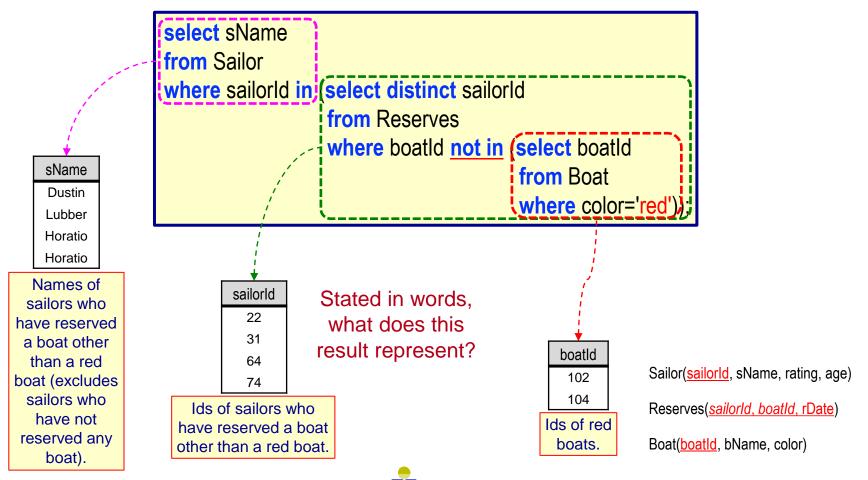


**COMP 3311** 

L7: EXERCISES

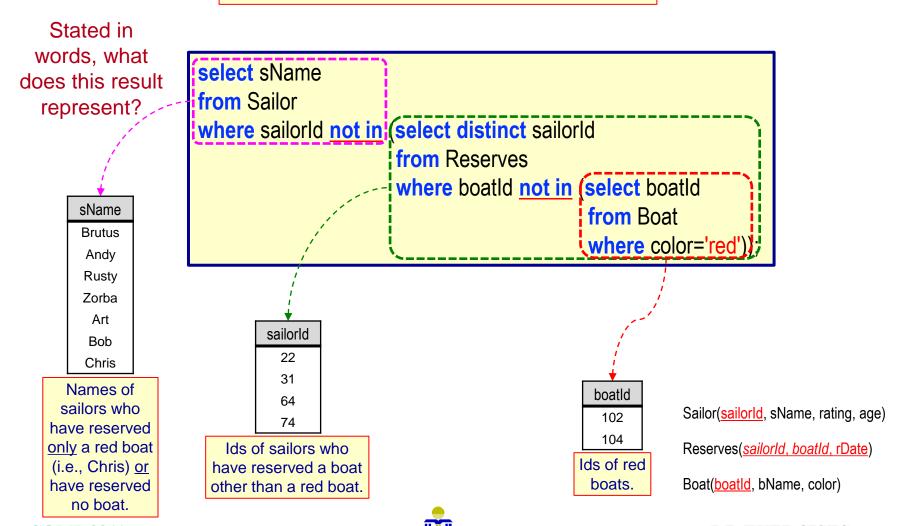
### EXERCISE 4 (cont'd)

What if we replace the second in with not in?



### EXERCISE 4 (cont'd)

What if we replace both in's with not in?



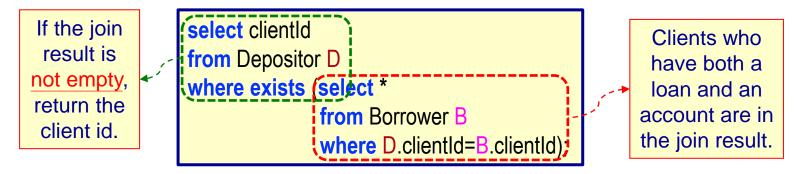
**COMP 3311** 

# STRUCTURED QUERY LANGUAGE (SQL) EXERCISE 4 to be continued ...

### **EMPTY RELATION TEST**

 The exists operator returns true if the subquery is not empty (i.e., the subquery returns at least one tuple).

Query: Find all clients who have both a loan and an account.



### Scoping rules for correlation names (aliases) in subqueries.

- A correlation name defined in a subquery can be used only in the subquery itself or in any subquery contained in the subquery (e.g., D can be used in the nested select; B cannot be used in the outer select).
- Locally defined correlation names override globally defined names.



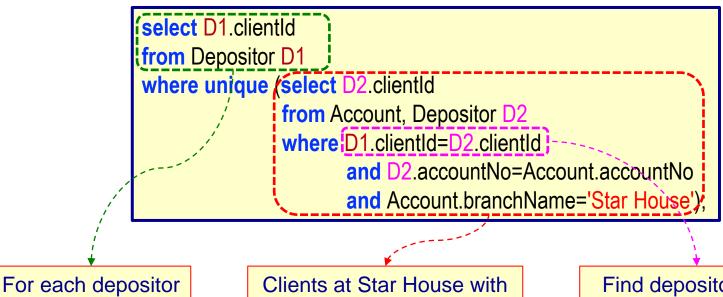
### **DUPLICATE TUPLES TEST: UNIQUE**

 The unique operator tests for the non existence (i.e., absence) of duplicate tuples in a subquery.

Returns true if the subquery contains no duplicate tuples.

**Query:** Find all clients who have *only one account* at the Star House branch.

See later slide for an alternate way to answer this query.



the same name as D1.

D1, check ...

### **DUPLICATE TUPLES TEST: REVISITED**

 The group by and having clauses can test for the non existence (absence) and existence (presence) of duplicate tuples.

Query: Find all clients who have only one account at the Star House branch.

Query: Find all clients who have at least two accounts at the Star House branch.

How would you answer this query?

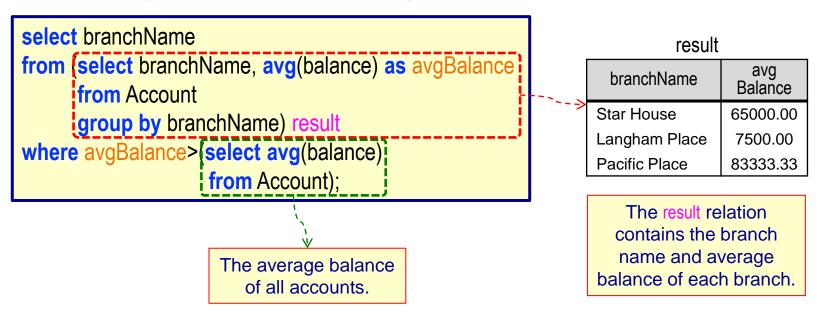


### **SUBQUERIES IN THE FROM CLAUSE**

The from clause can contain a subquery expression.

### Why?

Query: Find the name(s) of branches whose average balance is greater than the average account balance.

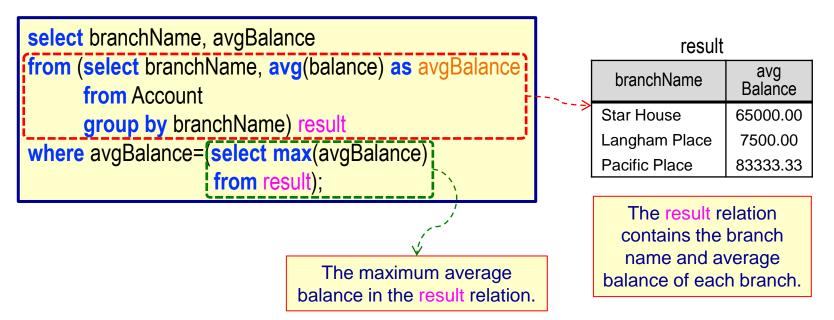


The relation result is called a derived (temporary) relation.



### SUBQUERIES IN THE FROM CLAUSE (cont'd)

**Query:** Find the name and average balance of branches with the <u>maximum average</u> account balance.



### **Oracle Note**

This query is <u>not allowed in Oracle</u> due to Oracle's scoping rules. (The scope of the <u>result</u> relation is restricted to the <u>outer</u> select clause.)

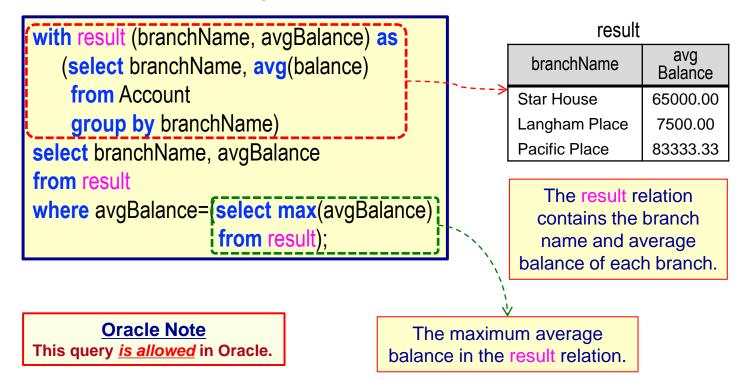
See the next slide.



### WITH CLAUSE

Allows a derived (temporary) relation to be defined that is available only to the query in which the with clause occurs.

Query: Find the name and average balance of branches with the *maximum average* account balance.



### Find the names of sailors who have reserved a red boat.

**Use exists** 

**Dustin, Lubber, Horatio, Chris** 

select sName from Sailor S where exists (select \*

from Reserves natural join Boat
where Reserves.sailorId=S.sailorId
 and color='red');

sailorld	sName	
22	Dustin	
29	Brutus	
31	Lubber	
32	Andy	
58	Rusty	
64	Horatio	
71	Zorba	
74	Horatio	
85	Art	
95	Bob	
99	Chris	

Reserves natural join Boat where color='red'				
boatld	sailorld	rDate	bName	color
102	22	10/10/17	Interlake	red
102	64	08/09/17	Interlake	red
102	31	10/11/17	Interlake	red
104	22	07/10/17	Marine	red
104	99	08/08/17	Marine	red
104	31	12/11/17	Marine	red

Sailor(sailorld, sName, rating, age)

Reserves(<u>sailorId</u>, <u>boatId</u>, <u>rDate</u>)

Boat(boatId, bName, color)



### Find the names of sailors who have reserved a red boat.

Use with clause

**Dustin, Lubber, Horatio, Chris** 

with RedBoatReservations (sailorld) as
(select sailorld
from Reserves natural join Boat
where color='red')
select distinct sName
from Sailor natural join RedBoatReservations;

sallorid	siname	
22	Dustin	
29	Brutus	
31	Lubber	
32	Andy	
58	Rusty	
64	Horatio	
71	Zorba	
74	Horatio	
85	Art	
95	Bob	
99	Chris	

RedBoatReservations
sailorld
22
64
31
22
99
31

Sailor(sailorld, sName, rating, age)

Reserves(<u>sailorId</u>, <u>boatId</u>, <u>rDate</u>)

Boat(boatld, bName, color)



# STRUCTURED QUERY LANGUAGE (SQL) EXERCISES 5, 6, 7

Upload your completed exercise worksheet to Canvas by 11 p.m. of Feb 24th